

An Enhanced Top-Down Cluster and Cluster Tree Formation Algorithm for Wireless Sensor Networks

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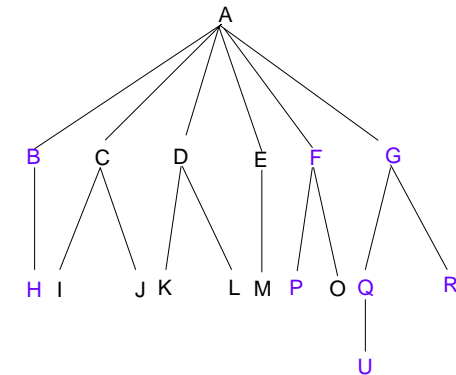
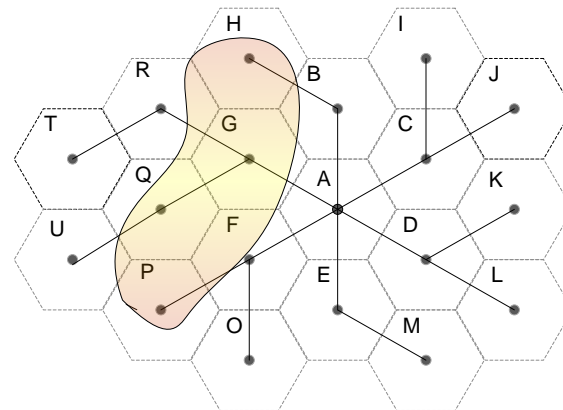
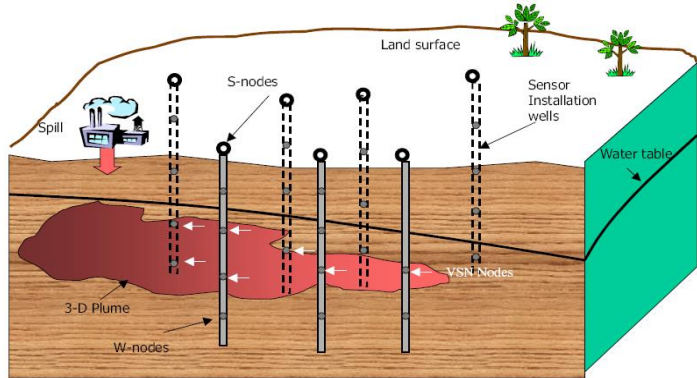
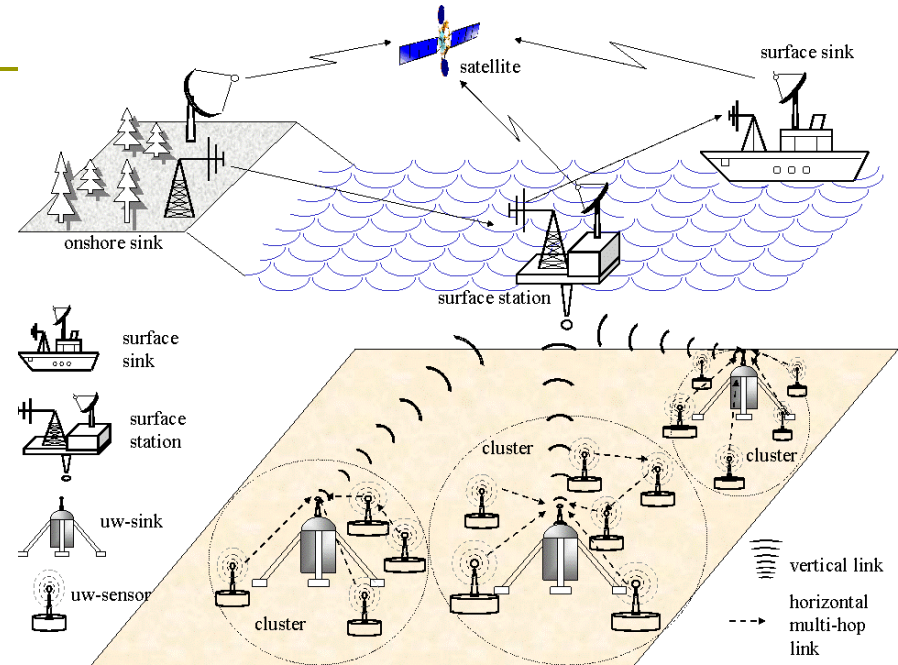
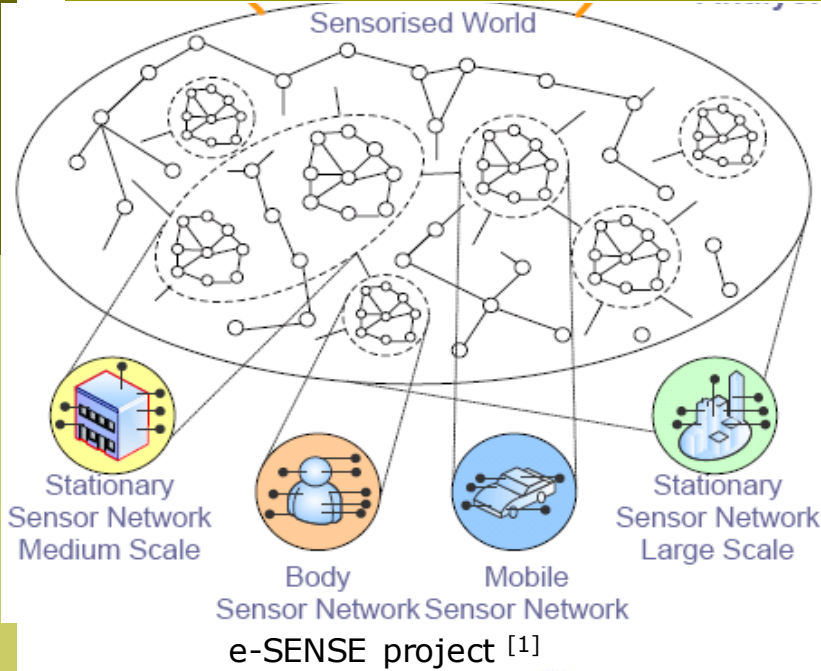
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Outline

- Wireless Sensor Networks (WSN)
- Motivation
- GTC – Generic Top-down Clustering algorithm
- Control of cluster & cluster tree characteristics
- Simulation results
 - Simulator
- Conclusions & future work

Wireless Sensor Networks (WSN)

Clustering



Motivation

- Some structure is required in future large scale WSNs, even if they are randomly deployed
 - Ease of administration
 - Better utilization of resources
 - Simplified routing
- An algorithm that is independent of
 - Neighbourhood information
 - Location awareness
 - Time synchronization
 - Network topology
- Top-down clustering allow better control
 - Controlled cluster size, controlled tree formation, hierarchical naming, etc.
- An algorithm that supports the existence of multiple WSNs in the same physical region

Generic Top-down Clustering (GTC) algorithm

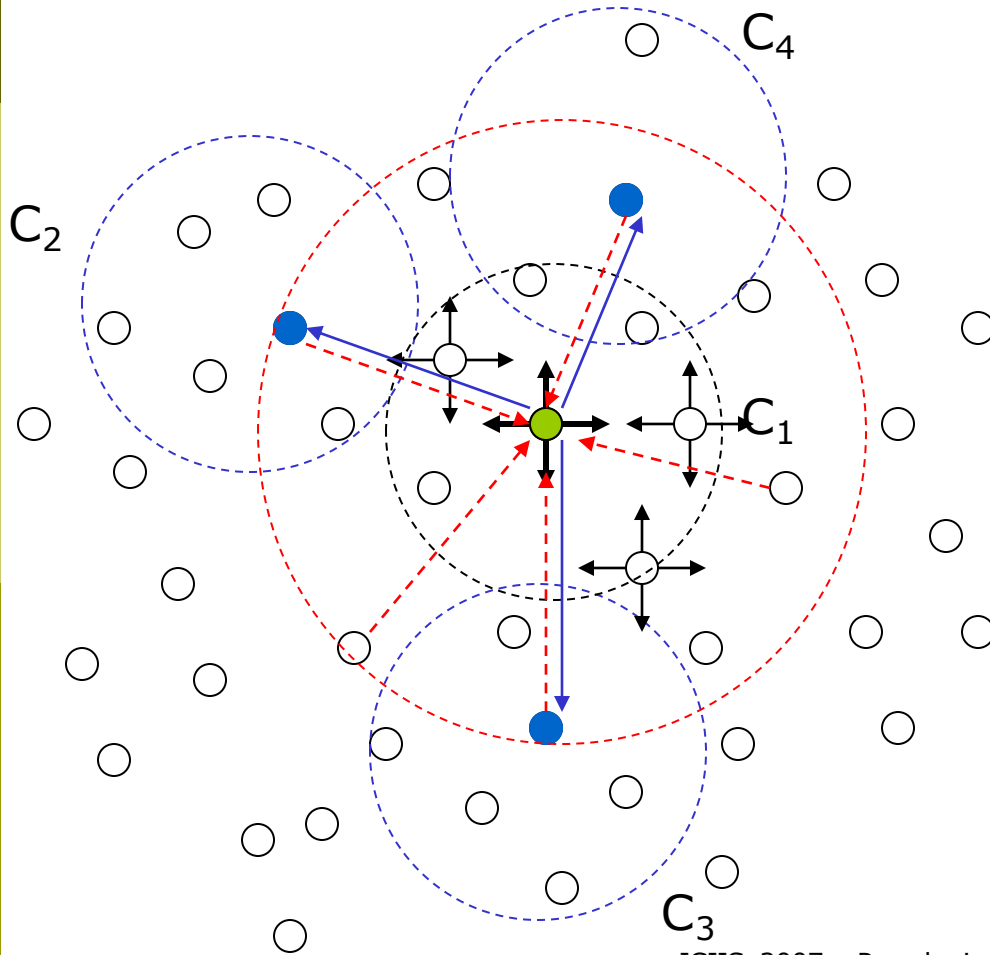
```

Form_cluster(NID, CID, T, N, MaxHops, TTL) {
    Wait(T)
    Broadcast_cluster(NID, CID, MaxHops, TTL)
    ack_list ← Receive_ack(CNID, hops, timeout, P1, P2)
    For i = 1 to N {
        CCHi ← Select_candidate_CH(TTL, ack_list, P1, P2)
        CIDi ← Select_next_CID()
        Ti ← Select_delay()
        Request_form_cluster(CCHi, CIDi, Ti, N, MaxHops, TTL)
    }
}

Join_cluster() {
    Listen_broadcast_cluster(NID, CID, MaxHops, TTL)
    If(hops ≤ MaxHops & MyCID = 0)
        MyCID ← CID, MyCH ← NID
    Send_ack(CNID, Hops)
    TTL ← TTL - 1
    If(TTL > 0)
        Forward_broadcast_cluster(NID, CID, MaxHops, TTL)
    Else {
        Listen_form_cluster(CCH, CID, T, N, MaxHops, TTL, timeout)
        Form_cluster(CCH, CID, T, N, MaxHops, TTL)
    }
}

```

Cluster formation



```

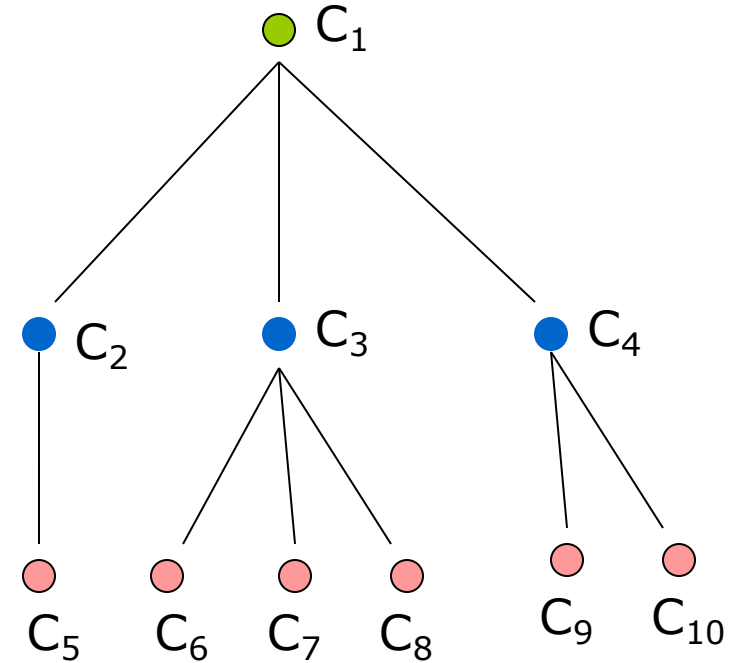
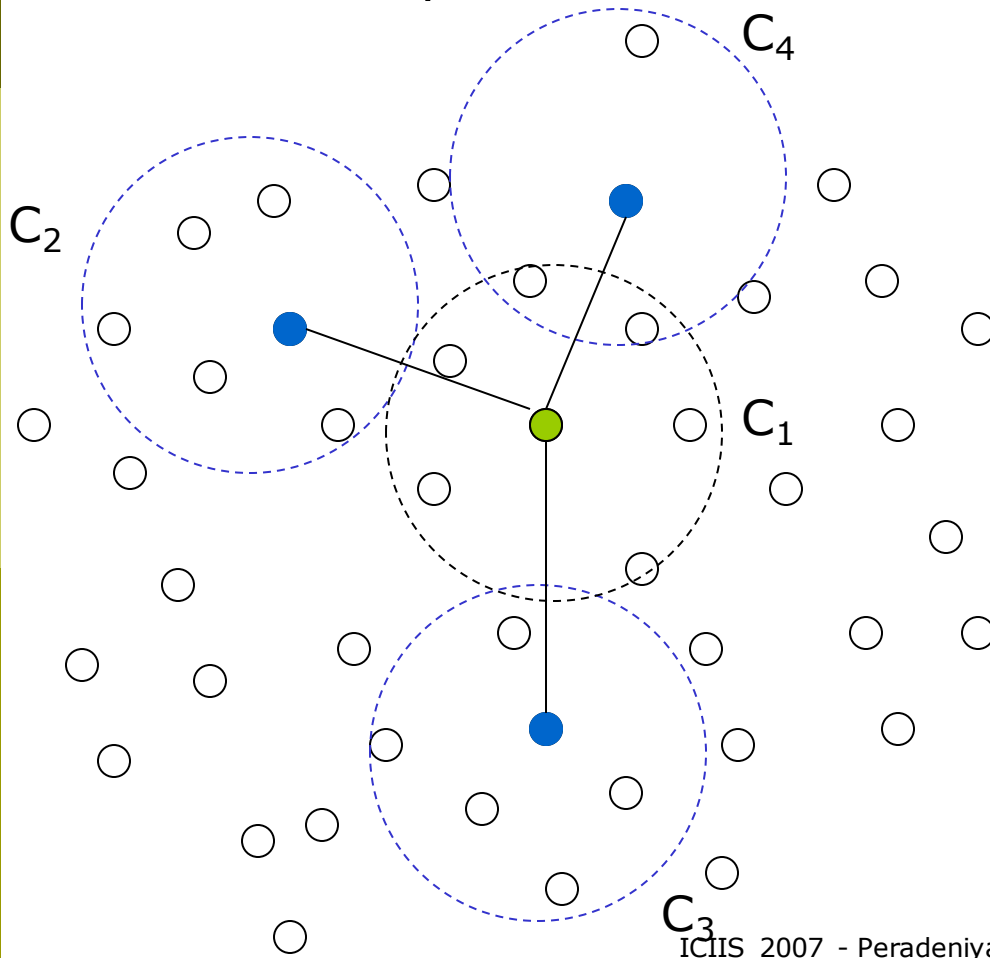
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    Form_cluster(CCH, CID, T, N, MaxHops, TTL)
  }
}
  
```

Cluster tree formation

- Cluster tree is formed by keeping track of parent & child relationships



Control of cluster & cluster tree characteristics

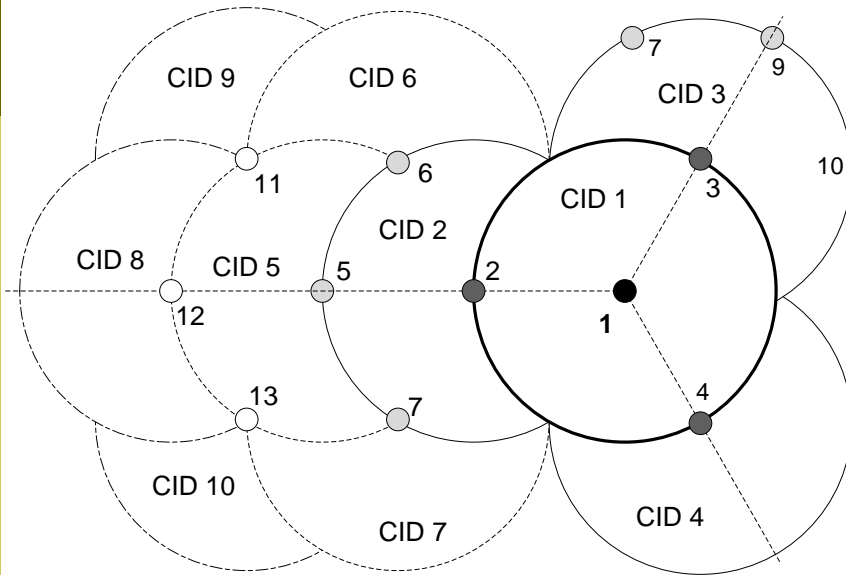
- By varying parameters of the algorithm clusters & cluster tree with desirable properties can be achieved
- Parameters that can be varied
 - *MaxHops* – Maximum distance to a child node within a cluster
 - *TTL* – No of hops to propagate the cluster formation broadcast
 - *N* – No of candidate cluster heads
 - T_i – Time delay before forming cluster i
 - CID_i – New cluster ID

Controlling *MaxHops* & *TTL*

- *MaxHops* determine the size of a cluster
 - $MaxHops = 1$ – Single-hop clusters
 - $MaxHops \geq 2$ – Multi-hop clusters

- Two variants of the GTC algorithm
 1. Simple Hierarchical Clustering (SHC)
 - $TTL = MaxHops$
 - New clusters heads are selected from nodes that are within the parent cluster
 - This is similar to the IEEE 802.15.4 clustering
 2. Hierarchical Hop-ahead Clustering (HHC)
 - $TTL = 2 \times MaxHops + 1$
 - New clusters heads are selected from nodes that are outside the parent cluster

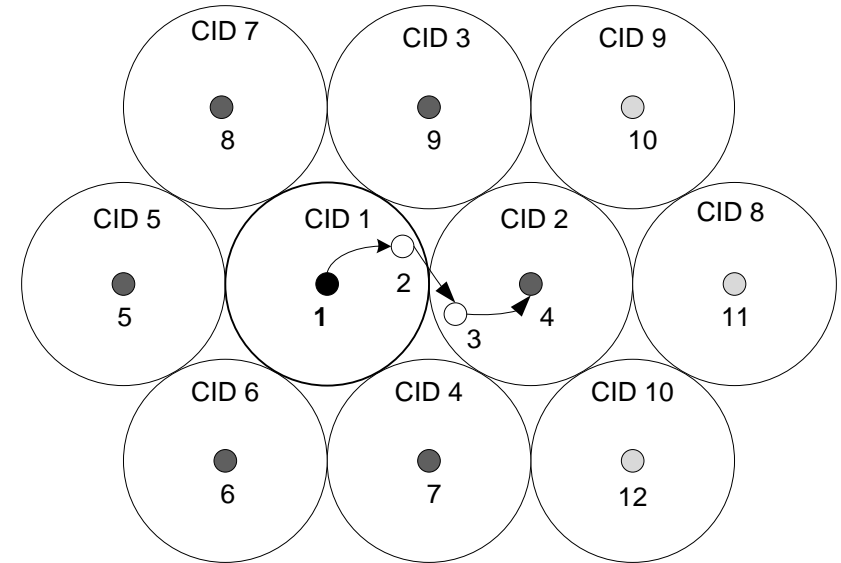
Ideal SHC & HHC clusters



SHC – Simple Hierarchical Clustering

$$MaxHops = TTL = 1$$

$$N = 3$$



HHC – Hierarchical Hop-ahead Clustering

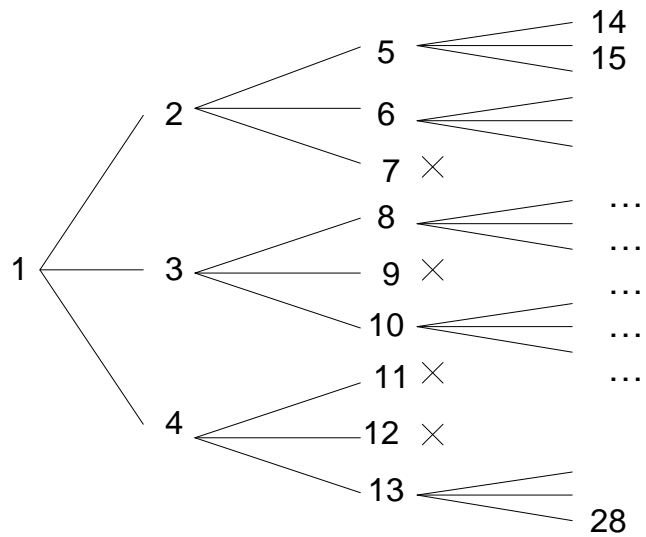
$$MaxHops = 1$$

$$TTL = 3$$

$$N = 6$$

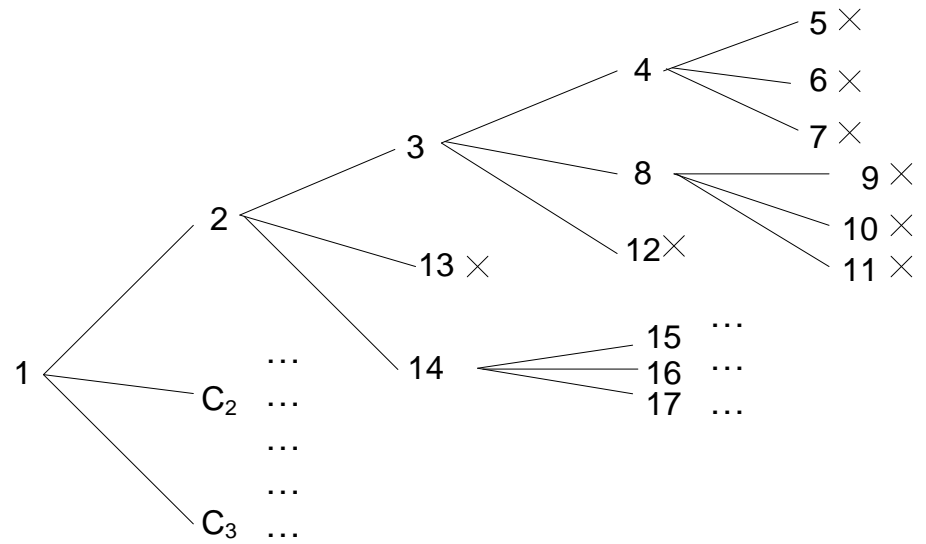
Controlling time delay (T)

- Each candidate cluster head waits sometime before forming a cluster
- This delay prevents collisions
- By varying time delay shape of the cluster tree can be controlled
 - Breadth-first, depth-first or some scheme in between



(a) Breadth first cluster formation

$$T_L(i) < T_L(i+1)$$

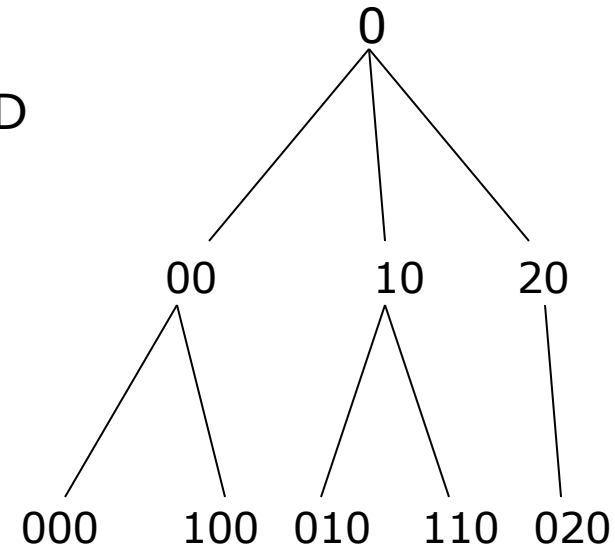


(b) Depth first cluster formation

$$T_B(i) < T_B(i+1)$$

New cluster ID

- New cluster ID can be assigned
 - as a sequence of numbers – 1, 2, 3
 - Root node must assign cluster ID
 - based on node ID of the candidate cluster head
 - $CID = NID$
 - Parent cluster heads can assign cluster ID
 - based on hierarchical naming
 - Parent cluster heads can assign cluster ID
 - Simplified routing
 - Much easier with the top-down approach



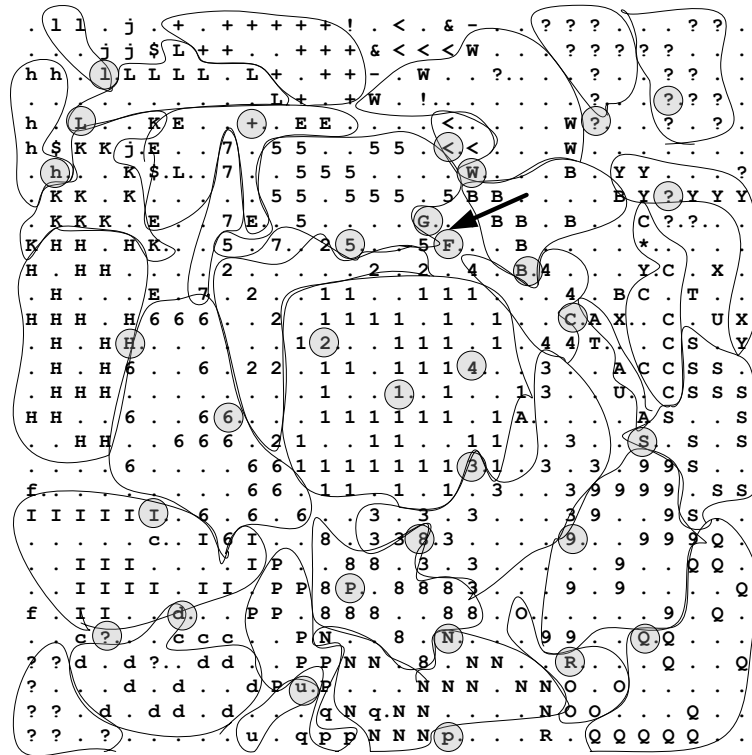
Simulation Results



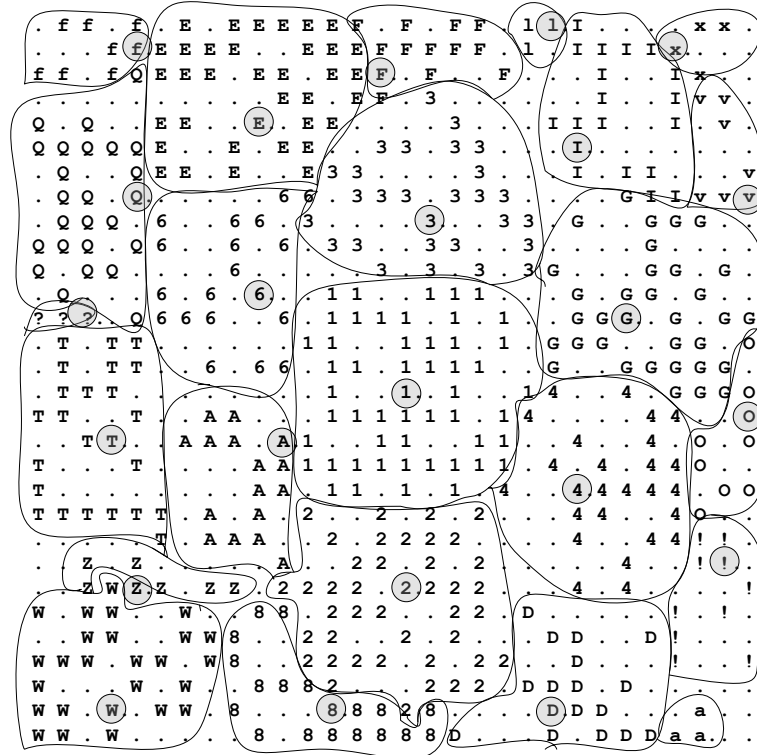
Simulator

- ❑ A discrete event simulator was developed using C
- ❑ Nodes were randomly placed on a 100×100 square grid with a given probability
 - e.g. 1, 0.5 & 0.25
- ❑ 100 sample runs based on pre-generated networks were considered
- ❑ N was selected such that $N=3$ for SHC & $N=6$ for HHC
- ❑ Circular communication model
 - Within clusters - Multi-hop
 - Cluster head to cluster head - Single-hop
- ❑ Assumptions
 - Nodes were homogeneous
 - Stationary
 - Fixed transmission range

Physical shape of the clusters



(a) SHC clusters



(b) HHC clusters

Grid size = 30x30
D = 450
R = 30

For (a):
MaxHops = 1
TTL = 1
N = 3

For (b):
MaxHops = 1
TTL = 3
N = 6

* CHs are indicated by circles

* Cluster heads are highlighted with circles

- HHC produce more circular & uniform clusters

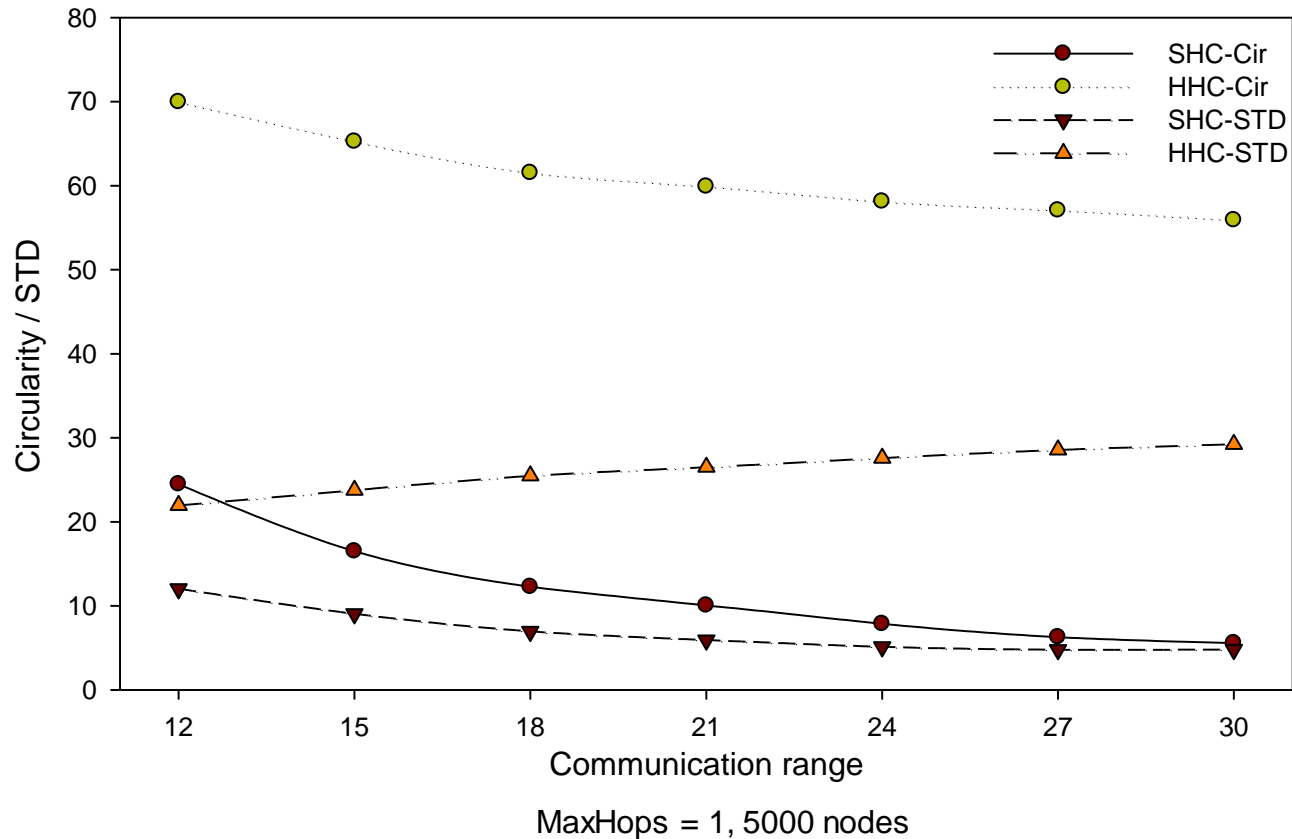
Why clusters needs to be circular?

- Efficient coverage of the sensor filed^[3]
 - Minimum number of clusters
 - Reduce the depth of the cluster tree
 - Better load balancing
- Topology becomes more predictable
- Reduce intra-cluster signal contention
- Aggregation is more meaningful when cluster head is in the middle

- Measuring circularity
 - Maximum Achievable Circularity (MAC)

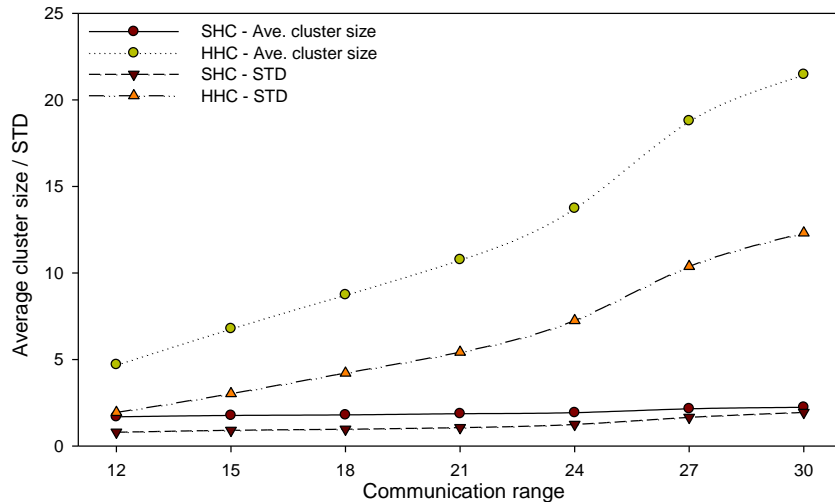
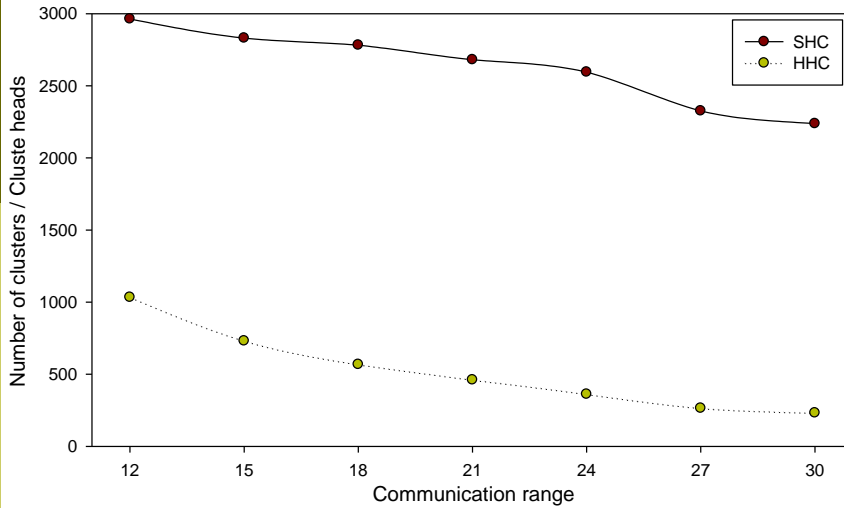
$$MAC_i = \frac{\text{No of nodes in cluster } i}{\text{Total no of nodes in the range of } CH_i} \times 100$$

Circularity



- HHC produce more circular clusters than SHC

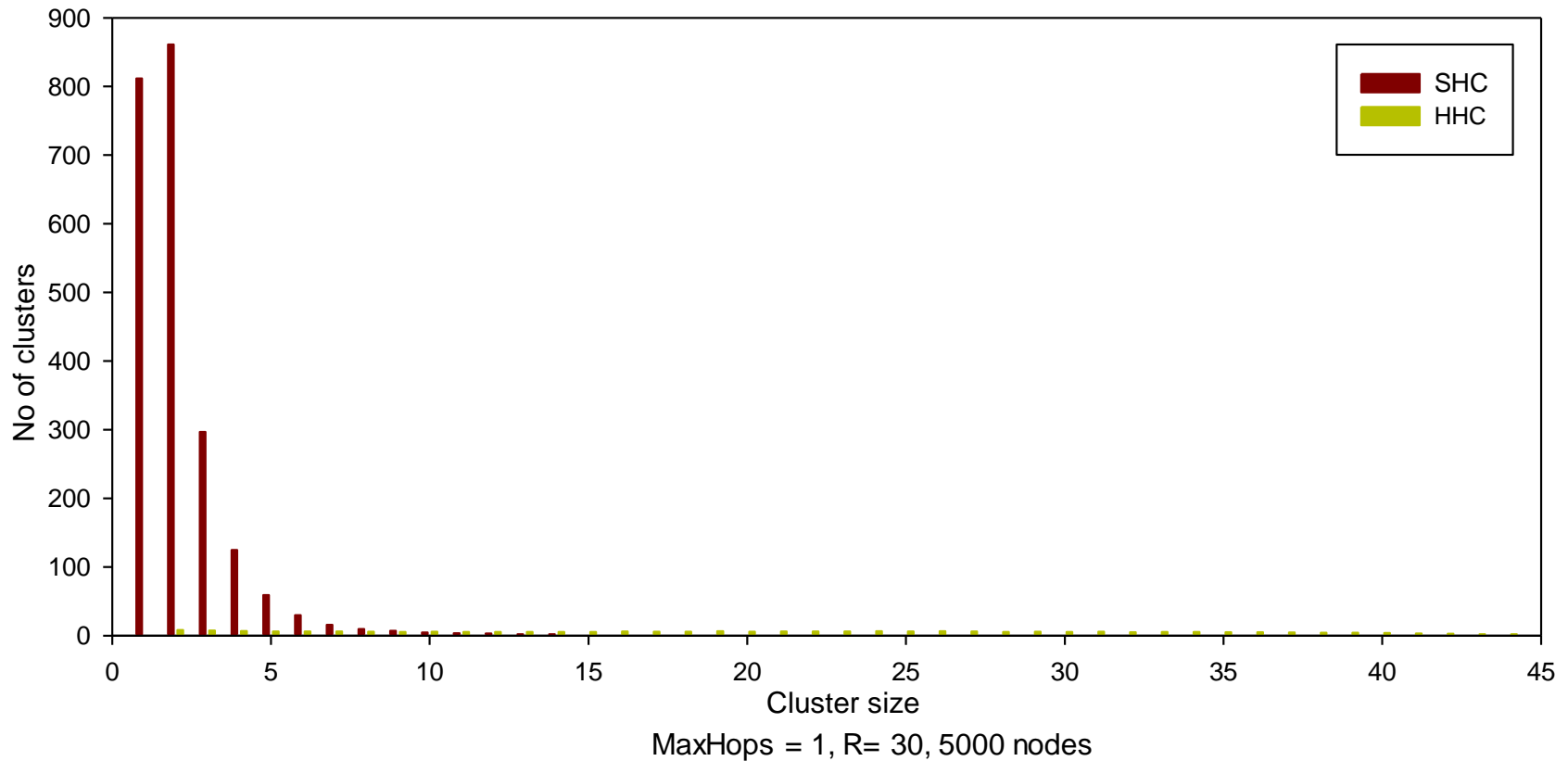
No of nodes/Clusters



MaxHops = 1, 5000 nodes

- HHC produces lesser number of clusters
- HHC produces much larger clusters than SHC
- High STD in HHC is due to smaller clusters at the edge of the sensor field
- Larger clusters are formed as the communication range is increased

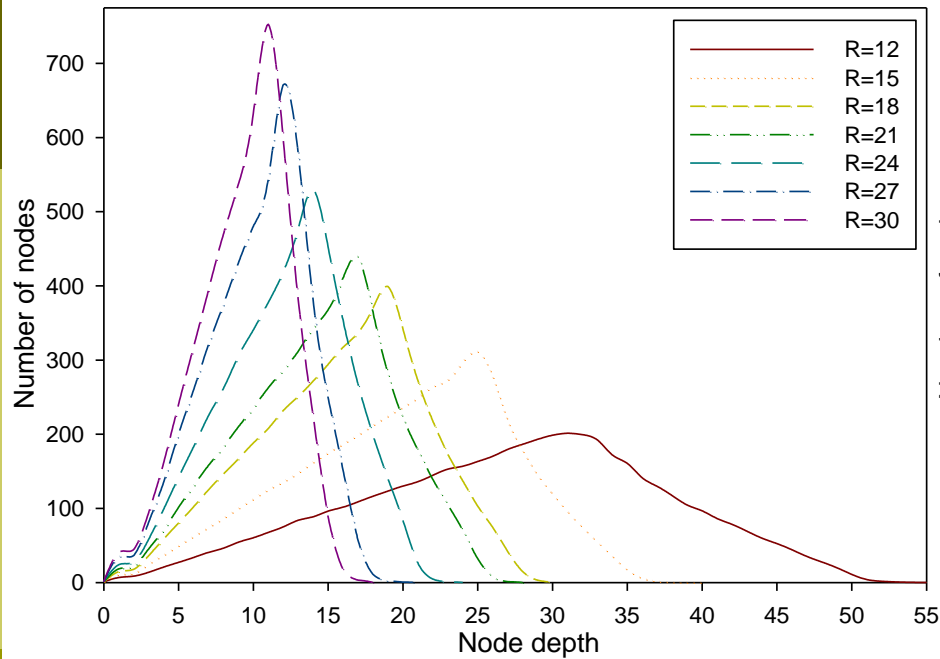
Node distribution



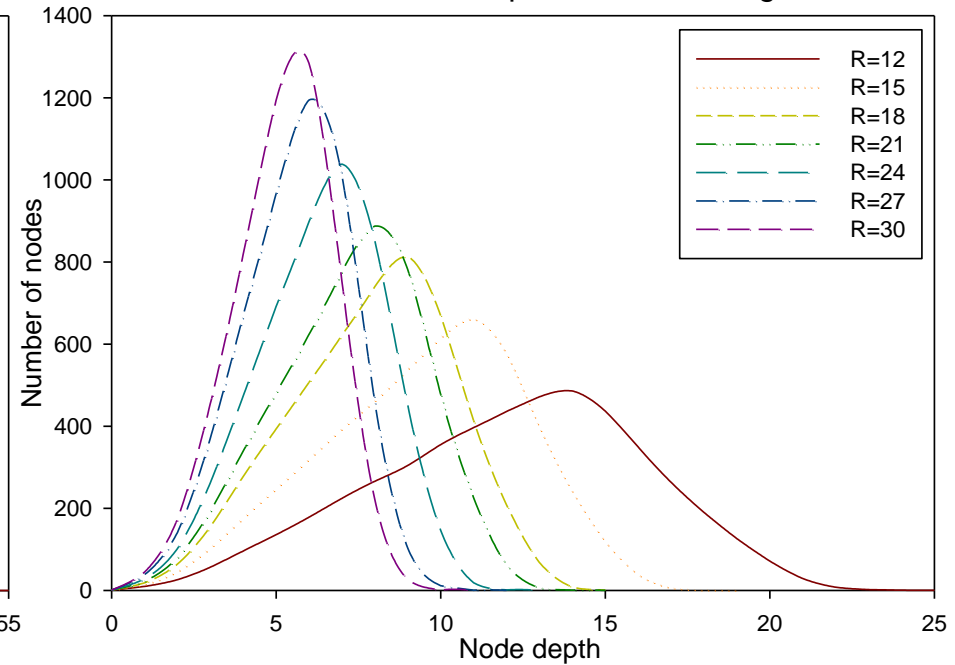
- HHC produces smaller number of large clusters
- SHC produces larger number of small clusters

Node depth distribution - Breadth-first tree formation

Simple Hierarchical Clustering



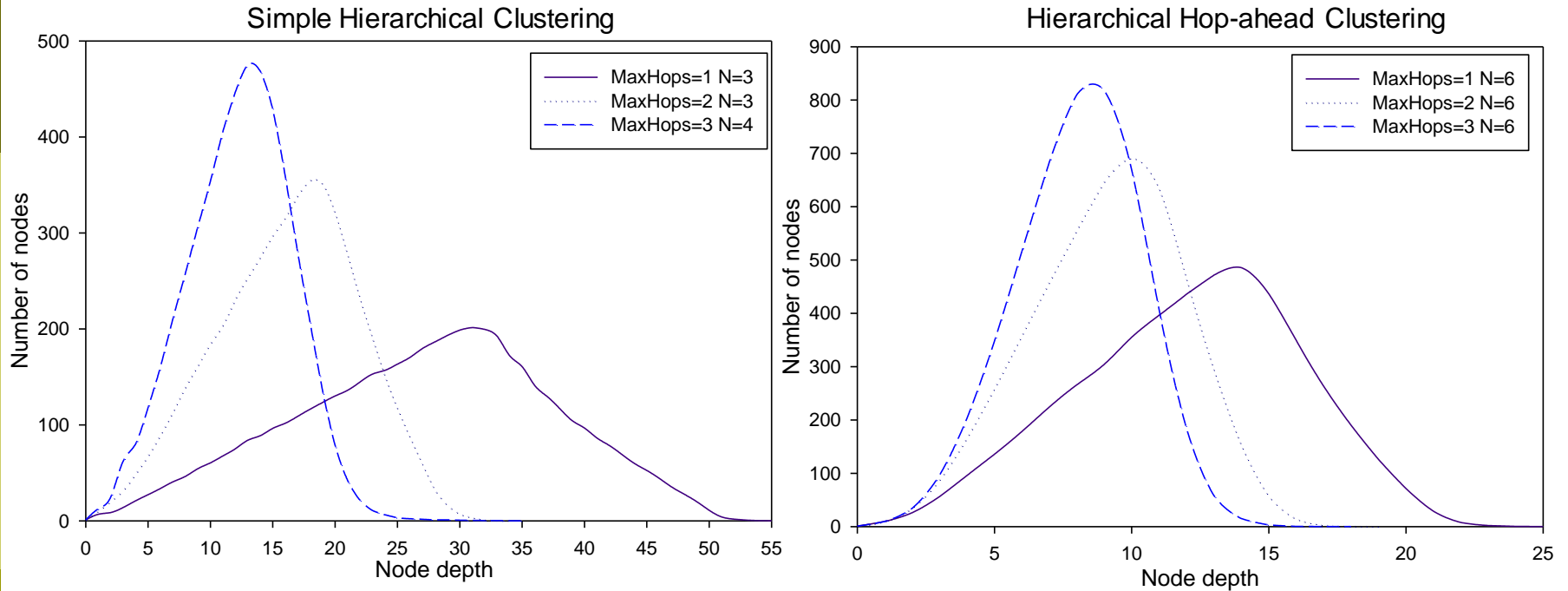
Hierarchical Hop-ahead Clustering



MaxHops = 1, 5000 nodes

- ▣ Nodes in HHC have a lower depth than SHC
- ▣ Depth reduces as the communication range increases

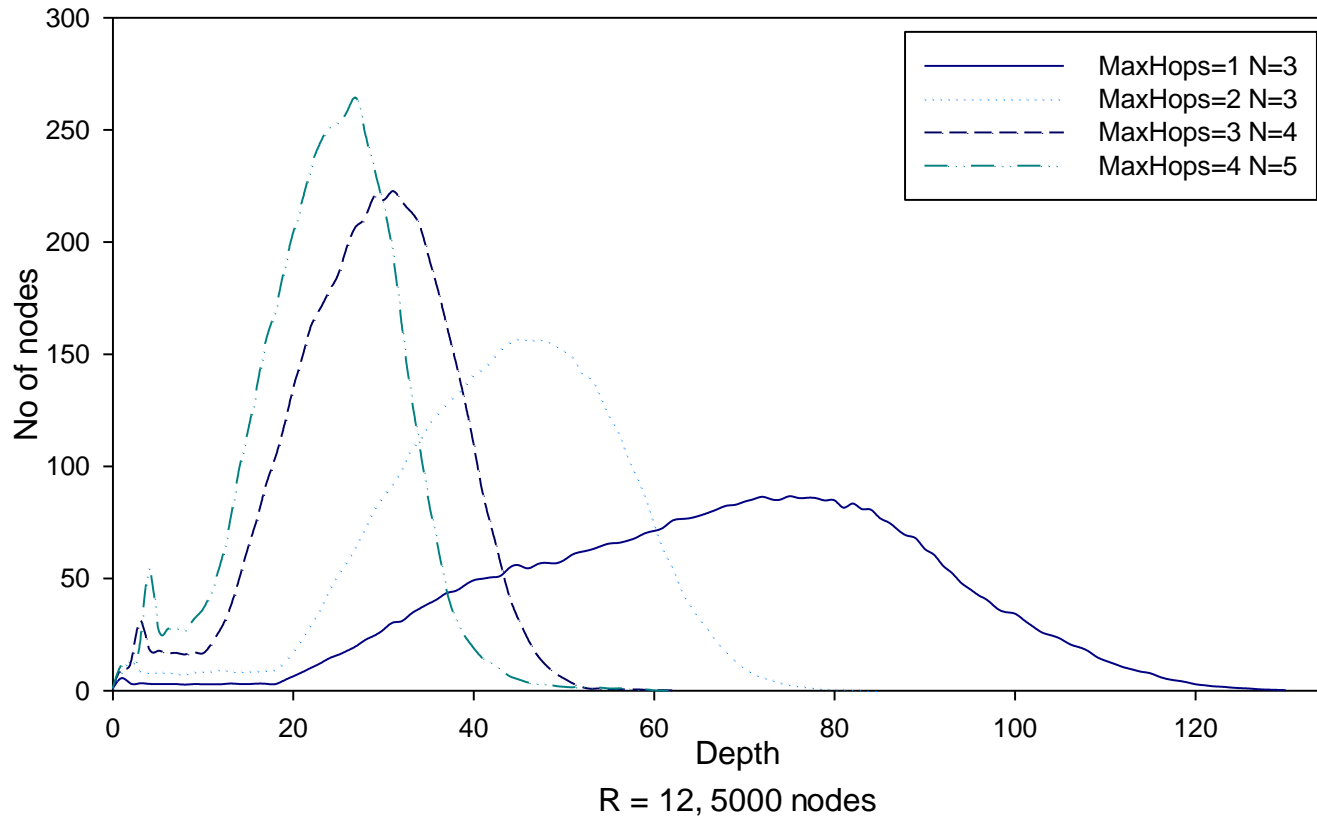
Node depth distribution - Multi-hop clusters (breadth-first tree formation)



R = 12, 5000 nodes

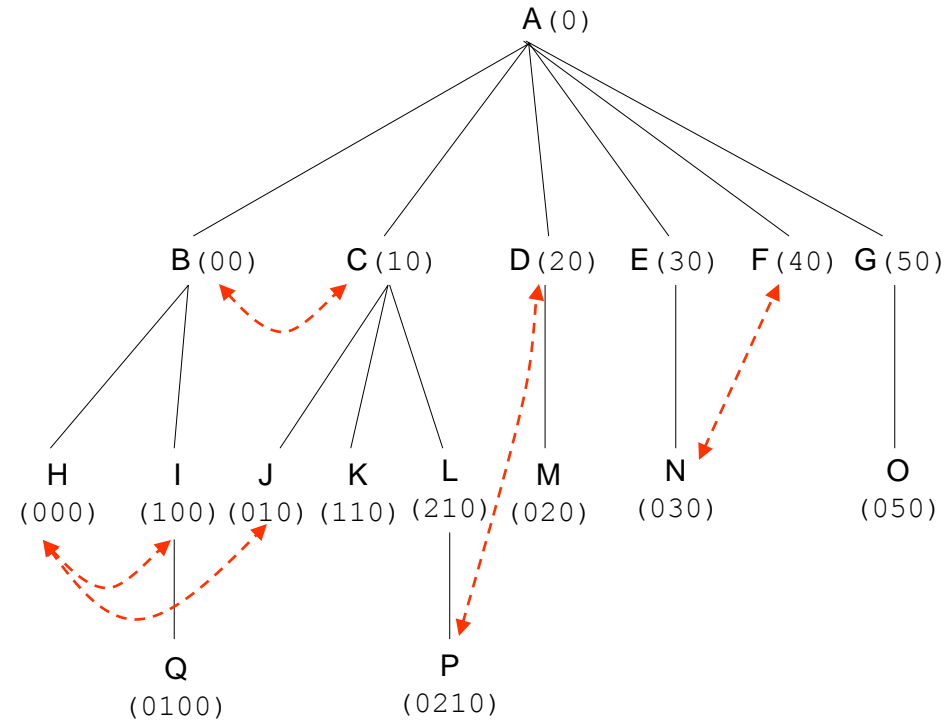
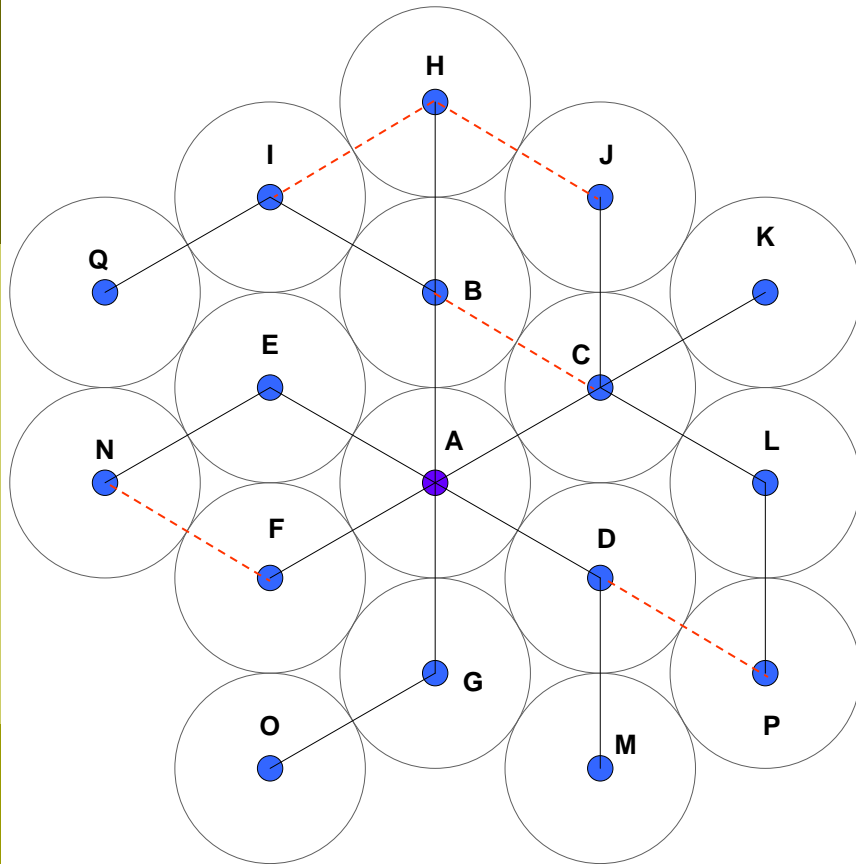
- Depth reduces as the *MaxHops* increases

Node depth distribution - Depth-first tree formation



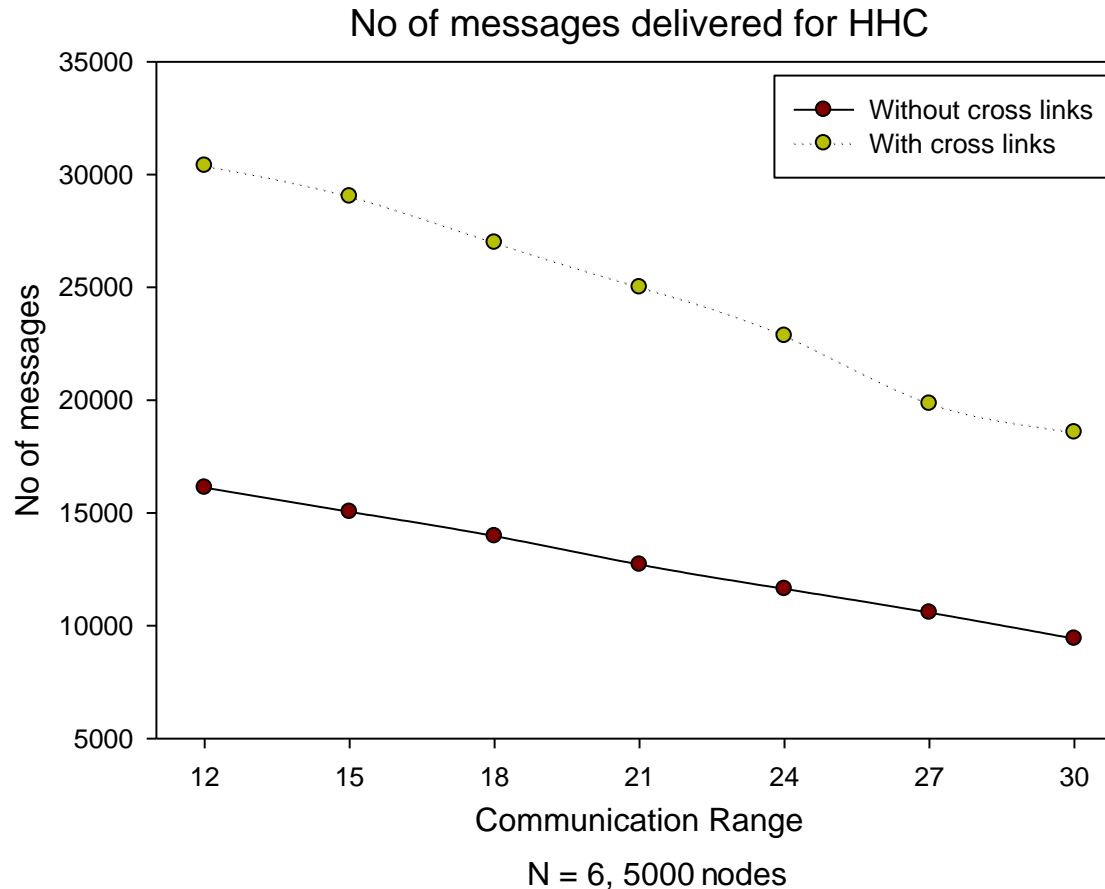
- Depth reduces as the *MaxHops* increases

Hierarchical routing



- Routing through cross links
 - Reduce burden on the root node
 - Lower latency

Hierarchical routing & routing with cross links



- Routing with cross links significantly increase the number of messages delivered

Conclusions & future work

- ❑ The proposed algorithm is independent of neighbourhood information, location awareness, time synchronization & network topology
- ❑ Algorithm scales well into large networks
- ❑ The HHC outperforms SHC

- ❑ We are currently working on
 - Further optimizing clusters after they are formed
 - ❑ Balancing the cluster tree
 - ❑ Further reducing node depth
 - Energy aware routing that will further increase the number of messages delivered
 - ❑ Increased network lifetime
 - Determining suitable parameter values (*MaxHops*, *TTL*, *N*, *T*, etc.) for optimum performance of the algorithm.

Q&A ...?



Thank you

